



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/798,841	03/11/2004	John Tesar	T0450.70034US00

Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210



CONFIRMATION NO. 5027

## FORMALITIES LETTER



\*OC000000012798441\*

Date Mailed: 05/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/12/2004 BABRAHA1 00000079 10798841

01 FC:1001	770.00 OP
02 FC:1051	130.00 OP
03 FC:1202	738.00 OP
04 FC:1201	258.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 1,3,8a,8b & 10.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$996** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$1896** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$996**
  - **\$258** for 3 independent claims over 3.
  - **\$738** for 41 total claims over 20.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

S. Greene  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



DOCKET NO.: T0450.70034US00

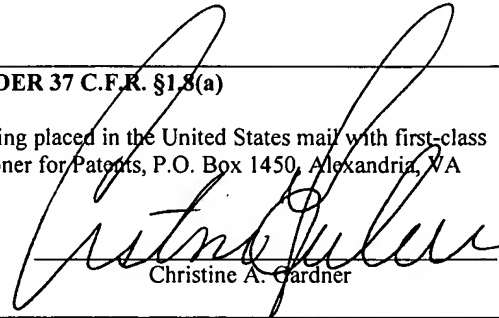
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Tesar et al.  
Serial No: 10/798,841  
Confirmation. No.: 5027  
Filed: March 11, 2004  
For: LENS ASSEMBLY AND OPTICAL IMAGING SYSTEM  
USING SAME  
Examiner: Not Yet Assigned  
Art Unit: 2872

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 9 day of August, 2004.



Christine A. Gardner

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Mail Stop Missing Parts  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- ☒ Copy of Notice to File Missing Parts;
- ☒ Executed Declarations (2 inventors – 3 total pages);
- ☒ Fee Calculation Sheet;
- ☒ Check in the amount of \$1,896.00 for filing and surcharge fees;
- ☒ Petition for One Month Extension of Time;
- ☒ Check in the amount of \$110.00 for extension of time;
- ☒ Preliminary Amendment;
- ☒ Request for Correction, Approval and Entry of Formal Drawings;
- ☒ Six (6) Annotated Sheets Showing Changes (Figs. 2-6, 8A and 8B);
- ☒ Eight (8) Replacement Sheets of Formal Drawings (Figs. 1-10); and
- ☒ Return Receipt Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

Serial No.: 10/798,841  
Docket No.: T0450.70034US00  
Page 2 of 2



Checks in the amounts of \$1,896.00 and \$110.00 are enclosed to cover the filing and extension fees. If the fee is insufficient, the balance may be charged to Deposit Account 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:

A handwritten signature in black ink, appearing to read "Neil P. Ferraro".

Neil P. Ferraro, Reg. No. 39,188  
Amy Mendel, Reg. No. 55,452  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2211  
Telephone: (617) 646-8000

Docket No. T0450.70034US00  
Date: August 9, 2004  
x08/28/04



Docket No. T0450.70034US00

Inventor(s): John Tesar et al.

Serial No: 10/798,841

Confirmation No.: 5027

Filed: March 11, 2004

CHECK BOX, if applicable:

For:

LENS ASSEMBLY AND OPTICAL IMAGING  
SYSTEM USING SAME

☐ DUPLICATE

### Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	61-20 =	41 x	\$ 18.00	= \$ 738.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	6-3 =	3 x	\$ 86.00	= \$ 258.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$ 290.00	= \$ 0.00
				BASIC FEE (37 CFR 1.16(a))	\$ 770.00
	Fee for Petition for Extension of Time (if any)				\$ 110.00
	Other Fees (if any)				\$ 130.00
				Total of above Calculations =	\$ 2,006.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28)				\$ 0.00
	Assignment Recordation Fee (if any)				\$ 0.00
	TOTAL =				\$ 2,006.00

1. Checks in the amounts of \$1,896.00 and \$110.00 are enclosed.

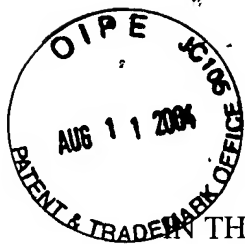
#### General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 or ☒ 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

Neil F. Ferraro, Reg. No. 39,188  
Amy F. Mendel, Reg. No. 55,452  
Wolf, Greenfield & Sacks, P.C.  
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Telephone: (617) 646-8000

Docket No.: T0450.70034US00

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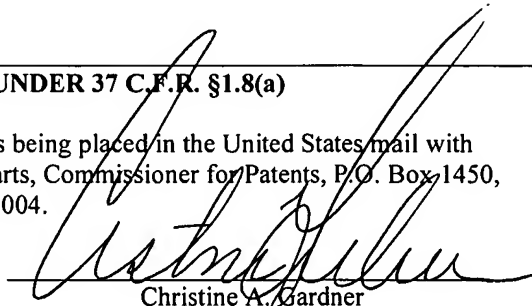
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Applicant: John Tesar  
Serial No: 10/798,841  
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Examiner: Not Yet Assigned  
Art Unit: 2872

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

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Christine A. Gardner

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

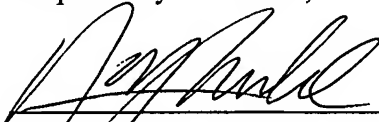
**REQUEST FOR CORRECTION, APPROVAL AND ENTRY OF FORMAL DRAWINGS**

Sir:

Enclosed are six (6) pages of Annotated Sheets Showing Changes that depict the proposed drawing corrections for Figures 2-6, 8A and 8B. The proposed corrections are marked in red ink. The Examiner is respectfully requested to approve these proposed drawing corrections.

Further, Applicant submits a complete set, eight (8) sheets, of Formal Drawings, Figures 1-10, including the changes to the Figures as submitted above.

Respectfully submitted,

By:   
Neil P. Ferraro, Reg. No. 39,188  
Amy Mendel, Reg. No. 55,452  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2211  
Telephone: (617) 646-8000

Docket No. T0450.70034US00  
Date: August 9, 2004  
xNDD